



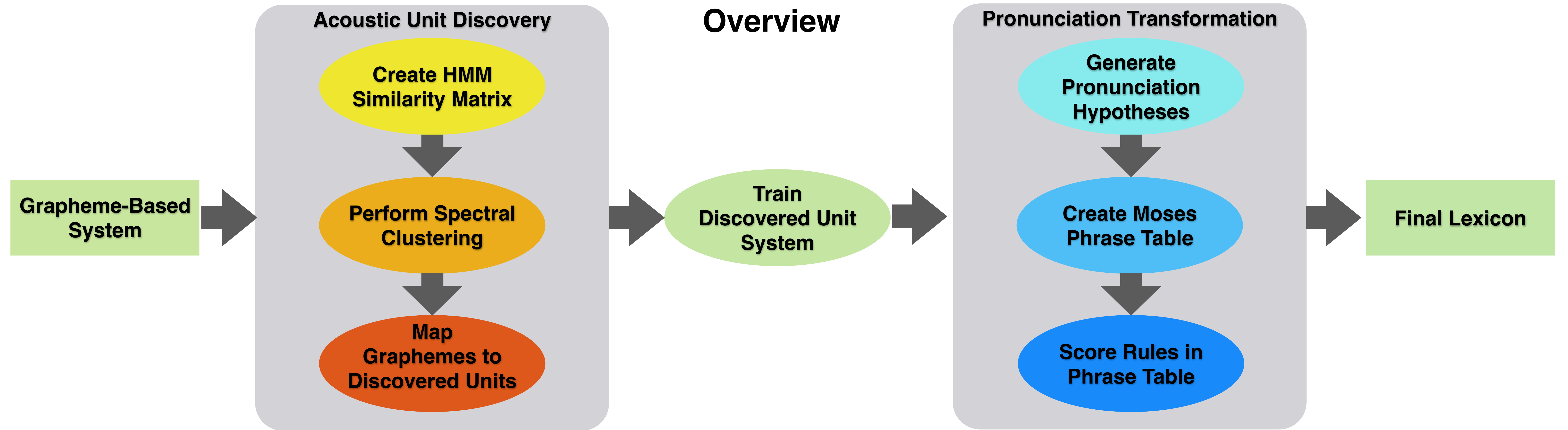
# Efficient Rule Scoring for Improved Grapheme-Based Lexicons

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## Introduction

- Unlike the other main components of an ASR system, the pronunciation lexicon is largely handmade.
- Low-resource languages may not have expert-defined lexicons.
- We propose a two-stage approach to learning both the lexicon and the underlying acoustic units.
- Our approach relies on an initial baseline grapheme-based system.
- Acoustic units are learned by clustering the context-dependent grapheme-based models.
- Pronunciations are generated by transforming the original lexicon with an SMT-based approach.
- Each individual stage produces a significant improvement over the baseline system.
- Combined, the approach reduces the relative word error rate by 16%.



## Acoustic Unit Discovery

- Acoustic units are discovered by clustering context-dependent grapheme-based HMMs.
- Requires defining a similarity measure between individual HMMs (Equation 1).
- CSD is the Cauchy-Schwarz Divergence measure (Equation 2).
- We use the CSD because a closed form solution for a Mixture of Gaussians exists.

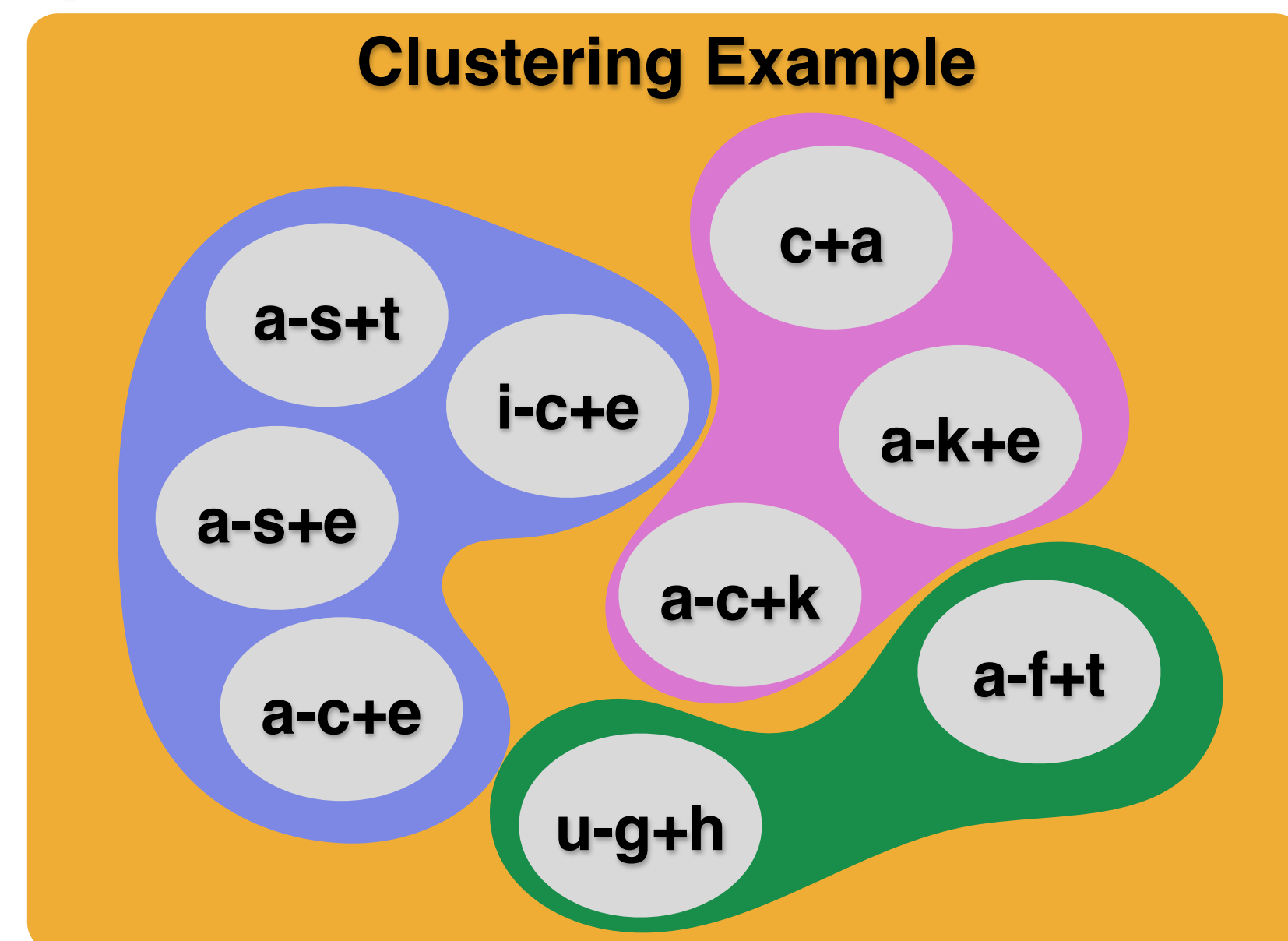
$$\text{HMM}_{\text{sim}}(\mathbf{h}, \mathbf{h}') = \sum_{a=1}^A \sum_{b=1}^B \frac{\alpha_{a,b}}{\text{CSD}(h_a, h'_b) + 1}$$

**Equation 1**

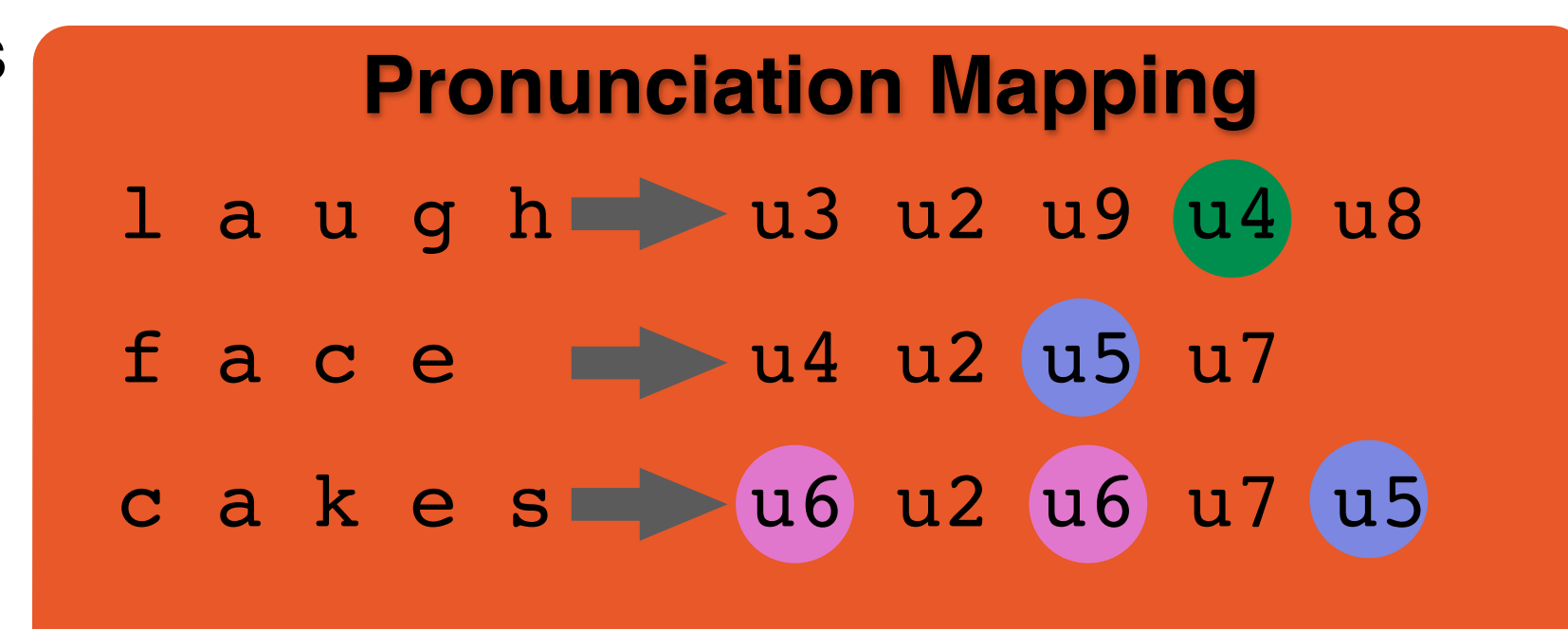
$$\text{CSD}(\mathbf{p}, \mathbf{q}) = -\log \frac{\sum_i p_i q_i}{\sqrt{\sum_i p_i^2 \sum_i q_i^2}}$$

**Equation 2**

- Clustering is performed using spectral clustering.
- We achieved better performance with a k-nearest neighbor similarity graph rather than a similarity matrix.
- Since the optimal number of acoustic units is not known a priori, we tried various numbers of clusters.
- The final clusters group acoustically similar context-dependent HMMs into a single acoustic unit.



- Based on the clustering, pronunciations are mapped to the new acoustic units.
- Each pronunciation will have the same number of units as in the baseline grapheme-based lexicon.
- The new acoustic units are labeled as numbers since no other label exists.



## Pronunciation Transformation

- Context-dependent acoustic models are trained.
- The training data is decoded in terms of the acoustic units.
- Based on the time-aligned results, each word in the training set has one or more pronunciation hypotheses.
- The example shown uses grapheme units for clarity.

lack	l a k
lack	l e k
lochs	l o c x s
necessary	n e s e s r y
ford	f r d
ford	f r n
caught	k o t

- Using Moses, a phrase table is learned from the pronunciation hypotheses.
- Each entry in the table represents the translation of a sequence of acoustic units into an alternate sequence.
- Moses allows for reordering of units, but we disable this option for our work.
- The phrase table can transform the pronunciations in the original lexicon.

Source	Target	p(f s)
a c k	a k	0.19
c h s	c x s	0.13
c e s s a	s e s e	0.36
f o r d	f r d	0.17
a u g h t	o t	0.25

- The initial translation table decreases performance, so we prune the table.
- Rules are rescored with a single pass.
- The score is based on how often a rule is used for the best pronunciation for a word during forced alignment.
- Only rules that surpass a certain threshold are kept.
- The final transformation works for words unseen during training.

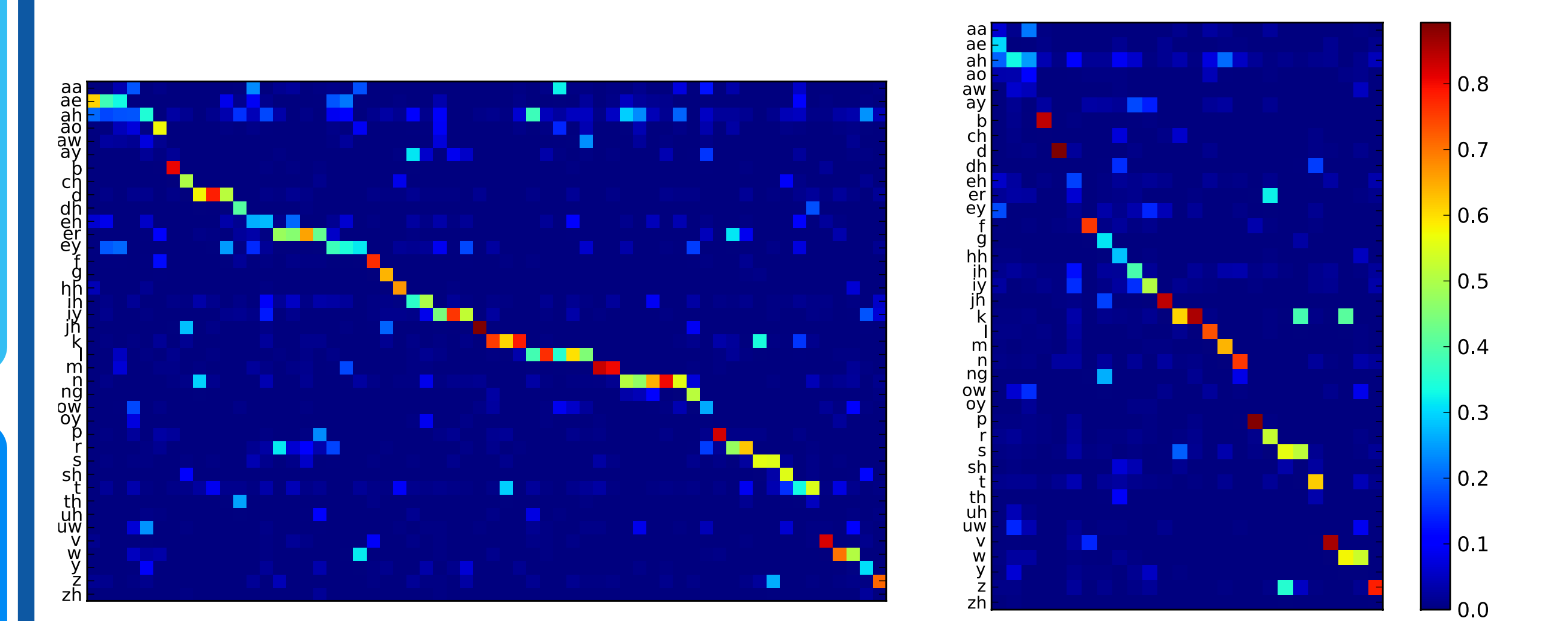
Source	Target	Score
a c k	a k	0.65
c h s	c x s	0.48
c e s s a	s e s e	0.51
f o r d	f r d	0.03
a u g h t	o t	0.08

## Results

- WER results are presented on the WSJ0 5k word task.
- Acoustic models are trained with HTK.
- All recognizers use context-dependent models with 2000 tied states.

Unit Type	# Units	Direct	Trans.
Grapheme	26	15.8	14.2
Discovered	39	15.0	13.3
Discovered	50	15.2	14.1
Discovered	60	14.4	13.2

- Decoding is performed with a bigram LM.
- Direct refers to the lexicon after mapping, and Trans. refers to the lexicon after pronunciation transformation.
- The figures below demonstrate the correlation between the discovered units and phones, and the grapheme units and phones.



Discovered 60

Grapheme

- We have proposed a two-stage approach for acoustic unit discovery and pronunciation generation that reduces relative WER by 16% compared to a baseline grapheme-based system.
- We are currently working to apply these techniques to other languages.